SSE Newsletter

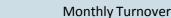
2017 Oct.

Monthly Review

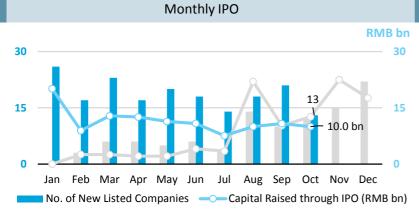
- As of Oct. 31, 1368 SSE-listed companies (including B-share companies) released their Q3 reports, 60% of which posted revenue and profit growth. Statistics showed that the combined revenue reached RMB 20.67 trillion (\$3.13 trillion) for the first 3 quarters, up 17.7% year-on-year. The combined profit totaled RMB 2.04 trillion (\$0.31 trillion), up 16.7% year-on-year.
- 13 companies listed on SSE in October, of which 9 are from strategic emerging industries, specializing in high-end equipment manufacturing, new materials, urban planning, and etc.
- SSE released the SSE's Vision and Plan on Serving the Belt and Road Initiatives.
- SSE released the SSE's Guidelines on Confirming the Listing Requirements for PPP project ABS.
- SSE successfully issued China's first batch of Innovative Startups' Convertible Bonds.
- SSE successfully issued China's first Project Revenue Corporate Bond.

Market Updates

Note: Unless otherwise stated, all the following graphs use the color grey to Equity Market (SSE A Share Market) represent 2016 and blue to represent 2017. October at a Glance SSE Composite & Daily Turnover **RMB** bn **Closing Price** P/E Ratio 3450 300 Gain/Loss SSE Composite 3393.3 1.33% 17.8 3375 150 SSE 180 8592.6 3.55% 13.6 SSE 380 5742.8 -0.09% 30.3 0 3300 CSI 300 4006.7 4.44% 15.6 10-09 10-13 10-19 10-25 10-31 Note: as of Oct. (2017/10/31) SSE Composite **Daily Turnover**



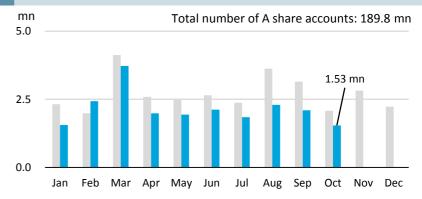




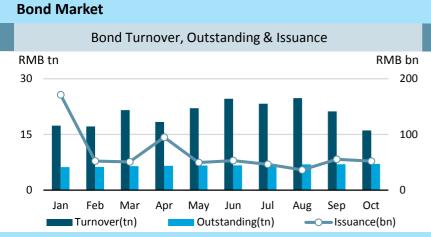
Market Cap. & No. of Listed Comps



No. of New A Share Accounts



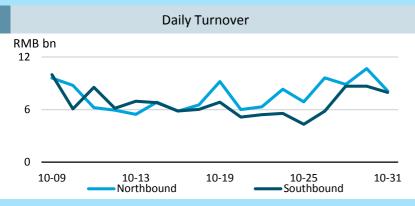
Market Data



Derivatives Market (SSE 50 ETF Options)



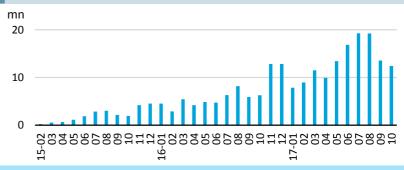
Shanghai-Hong Kong Stock Connect



Turnover & Market Value RMB bn 900 450 0 Jan Feb Mar Apr May Jun Jul Aug Sep Oct ETF Turnover — Fund Market Value FTF Market Value Fund Turnover

Fund Market

Monthly No. of Contracts Traded (since launched)



Monthly Turnover RMB bn 160 80 0 Jan Feb Mar Apr May Jun Jul Aug Sep Oct Northbound Southbound

QFII/RQFII

	RQFII	QFII	RMB bn US 600	SD bn 100
October 31				100
Quota Approved	RMB 590.36 bn	USD 94.49 bn		50
Licenses Granted	192	287	300	50
September 29				
Quota Approved	RMB 589.46 bn	USD 94.49 bn	0 2003 2005 2007 2009 2011 2013 2015 2017	0
Licenses Granted	191	287	RQFII Quota (RMB bn) —QFII Quota (USD bn)	

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Source: SSE, CSRC, SAFE, Wind Should you have any queries, please feel free to contact Mr. TF Li at <u>tfli@sse.com.cn</u>. For more information, please visit <u>http://english.sse.com.cn/overseasinvestors/introduction/briefintro/</u>.

