

Monthly News Highlights

CSRC announced financial derivatives accessible to Qualified Foreign Investors

October 15

The CSRC announced that, starting from November 1, 2021, commodity futures, commodity options, and stock index options will be added as eligible financial derivatives accessible to Qualified Foreign Investors, of which tradings in stock index options shall be limited for the sole purpose of hedging.

For more information please visit:

http://www.csrc.gov.cn/pub/csrc_en/newsfacts/release/202110/t20211015_407047.html

Shanghai Stock Exchange Global Investors Conference (SSE GIC) 2021 Held Successfully

October 25

On October 25th, the SSE GIC 2021 inaugurates in Shanghai. The conference brings global investors 23 keynote speeches, 9 panel discussions covering hot topics, emerging sectors and exchange market. The conference also offers corporate access opportunities to around 40 SSE main board and STAR Market companies.

For more information please visit:

http://roadshow.sseinfo.com/ssegic2021.do

Edited by LI Tianfang, Dept. of International Affairs, Shanghai Stock Exchange.

Equity Market

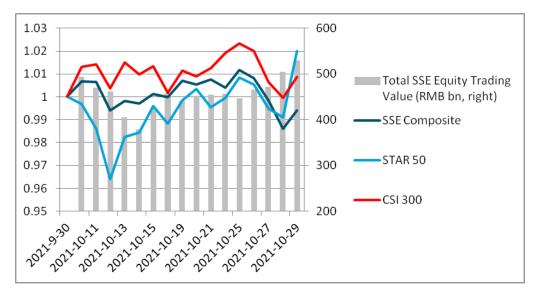
Market Overview

Boards	No. of Listed Companies	Total Market Value (RMB tn)	PE (LYR)	Average Daily Trading Value (RMB bn)	Average Daily Trading Value MoM Change
Main Board A	1646	44.77	16.14	418.11	-30.28%
STAR Market	352	4.96	66.99	38.86	-16.77%

Index Performance

Indexes	Closing Price	Monthly Performance	YTD Performance
SSE Composite	3547.34	-0.58%	2.14%
SSE 50	3267.25	2.20%	-10.26%
SSE 180	10141.29	1.39%	-5.09%
STAR 50	1396.20	2.01%	0.23%
CSI 300	4908.77	0.87%	-5.81%

Index Performance & Equity Trading Value

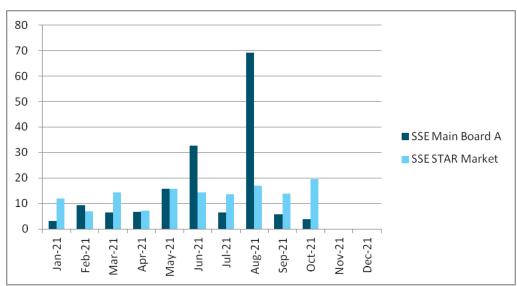


Note: the indexes are rebased to September 30, 2021.

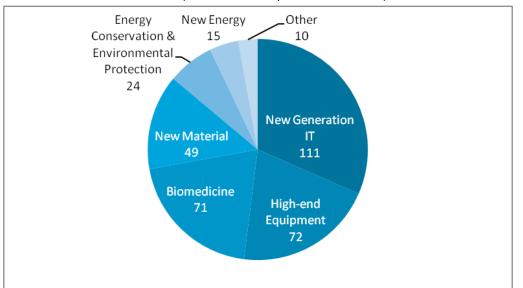
• IPO					
	Main Boar	d A	STAR Market		
2021	No. of Newly Listed	IPO Proceeds (RMB	No. of Newly Listed	IPO Proceeds (RMB	
2021	Companies	bn)	Companies	bn)	
January	6	3.22	8	11.96	
February	7	9.29	9	7.02	
March	8	6.45	19	14.43	
April	11	6.81	17	7.19	
May	13	15.81	14	15.89	
June	9	32.65	19	14.34	
July	8	6.53	18	13.55	
August	8	69.22	12	17.08	
September	5	5.87	10	13.85	
October	4	3.84	11	19.56	

Note: a list of all the newly listed companies is available at the last page.

Monthly IPO Proceeds (RMB bn)



• STAR Market



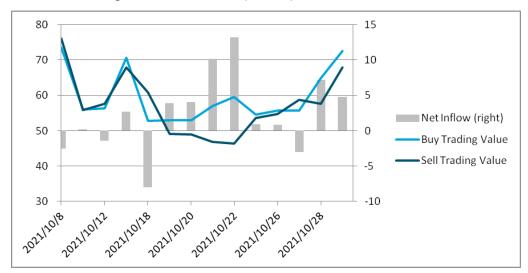
STAR Market Sector Distribution (No. of listed companies in the sector)

STAR Market IPO Pipeline (No. of issuers in the registration process)

Accepted	4
Inquired	63
Deliberation suspended	1
Failed	0
Supplementary review	0
Passed	4
Registration application submitted	43
Registration decision made by the CSRC	378
Suspended	37
Terminated	134

• Shanghai-Hong Kong Stock Connect

Northbound Trading Value and Net Inflow (RMB bn)



Bond Market

Bond Types	Market Value (RMB bn)	MoM Change	Average Daily Trading Value (RMB bn)	MoM Change
Treasury bonds	827.12	-1.34%	1.84	-45.54%
Local government bonds	830.57	2.10%	0.18	-75.37%
Financial bonds	128.87	-0.37%	0.06	-35.35%
Corporate bonds	10144.41	-0.20%	3.27	11.60%
Enterprise bonds	874.50	1.08%	20.69	-5.28%
Convertible bonds	467.93	-0.25%	30.38	-6.61%
Asset-backed securities	1514.34	-0.25%	0.49	-43.73%
Total	14787.74	-0.08%	56.90	-8.77%

ETF Market

	No. of ETFs	Market Value	МоМ	Average Daily Trading	MoM
ETF Types		(RMB bn)	Change	Value (RMB bn)	Change
Equity ETF	310	660.48	2.19%	21.15	-18.40%
Bond ETF	11	12.97	-0.23%	0.53	11.51%
Commodity ETF (gold)	8	12.42	2.49%	1.08	-10.59%
Cross-Border ETF	30	75.21	11.41%	4.49	-8.27%
Total	359	761.08	2.99%	27.26	-16.14%

Note: Exchange-traded money market funds are not included

Derivatives Market							
Options	Average Daily No. of Contracts Traded (mn)	MoM Change	No. of Call Options Contracts (mn)	MoM Change	No. of Put Options Contracts (mn)	MoM Change	Put/Call Ratio
SSE 50ETF Options	2.44	-13.46%	1.30	-15.64%	1.14	-10.82%	87.53%
CSI 300 ETF Options	1.59	-19.78%	0.81	-19.24%	0.79	-20.33%	97.27%

Newly Listed Companies

Code	Name	Industry	Market Value (RMB bn)					
	Main Board							
605138.SH	Zhejiang Sunrise Garment Group Co., Ltd	Apparel, Accessories & Luxury Goods	6.04					
605555.SH	Ningbo Dechang Electrical Machinery Made Co., Ltd.	Household Appliances	6.34					
605566.SH	Hangzhou Flariant Co., Ltd.	Specialty Chemicals	3.89					
605567.SH	Springsnow Food Group Co., Ltd.	Packaged Foods & Meats	2.97					
	STAR Market							
688211.SH	Wayz Intelligent Manufacturing Technology Co., Ltd.	Industrial Machinery	9.46					
688255.SH	Hangzhou Kaierda Welding Robot Co.,Ltd.	Industrial Machinery	3.13					
688257.SH	Shareate Tools Ltd.	Metals & Nonmetals	4.71					
688272.SH	Beijing Fjr Optoelectronic Technology Co., Ltd.	Electronic Equipment & Instruments	3.65					
688280.SH	Jing-Jin Electric Technologies Co., Ltd.	Electrical Components & Equipment	9.11					
688285.SH	China Railway High-speed Electrification Equipment Co.,Ltd.	Electrical Components & Equipment	3.30					
688553.SH	Sichuan Huiyu Pharmaceutical Co., Ltd.	Pharmaceuticals	14.00					
688722.SH	Beijing Tongyizhong New Material Technology Corporation	Synthetic Fiber	2.74					
688737.SH	Sinocat Environmental Technology Co.,Ltd.	Auto Parts & Equipment	4.68					
688739.SH	Liaoning Chengda Biotechnology Co.,Ltd.	Biotechnology	33.39					
688772.SH	Zhuhai CosMX Battery Co., Ltd.	Electronic Equipment & Instruments	43.74					

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