

Overview of Shanghai Market (2020)

| | | Number | Compared with the End of 2019 | Change (%) | |
|-----------------------------|--------------------------|--|----------------------------------|------------|--------|
| Indices | SSE Index | 3,473.07 | 422.95 | 13.87 | |
| | STAR 50 Index | 1,393.03 | 393.03 | 39.30 | |
| | SSE 50 Index | 3,640.64 | 577.42 | 18.85 | |
| No. of Listed Companies | | 1,800 | 228 | 14.50 | |
| Securities Products | Stocks | A Shares | 1,794 | 229 | 14.63 |
| | | B Shares | 48 | -2 | -4.00 |
| | | CDR | 1 | 1 | - |
| | Preferred Shares | | 36 | 1 | 2.86 |
| | Bonds | | 20,378 | 5,010 | 32.60 |
| | Funds | Total | 380 | 72 | 23.38 |
| | | Including: ETFs | 236 | 67 | 39.64 |
| | Options | | 2 | 0 | 0.00 |
| Market Scale | Stocks | Total Market Value | 455,322 | 99,802 | 28.07 |
| | | Market Value of Shares without Sales Limit | 380,013 | 78,758 | 26.14 |
| | | Issued Shares | 42,601 | 2,401 | 5.97 |
| | | Shares without Sales Limit | 37,501 | 2,331 | 6.63 |
| | Preferred Stocks | Market Value | 7,940 | 346 | 4.55 |
| | Bonds | Entrusted Bonds | 132,061 | 30,691 | 30.28 |
| | Funds | Market Value | 9,195 | 3,107 | 51.04 |
| | | Including: ETFs | 6,421 | 1,759 | 37.73 |
| | Options | Open Interest | 4.08 million | -0.49 | -10.68 |
| Price-to- earnings Ratio | SSE Index | | 16.76 | 2.22 | 15.22 |
| | SSE 50 Index | | 13.56 | 1.78 | 15.14 |
| | SSE 150 Index | | 48.27 | 14.13 | 41.39 |
| Investors | Number of Investors | | 1.92 | 0.18 | 10.02 |
| | Number of Stock Accounts | | 2.66 | 0.30 | 12.71 |
| Market Trading | Stocks | | 839,861 | 296,01 | 54.43 |
| | Preferred Shares | | 1,101 | 710 | 181.83 |
| | Bonds | | 114,502 | 50,41 | 78.67 |

| | | | | | |
|-------------------------------|------------|--|-----------|-------|--------|
| | Securities | Funds | 107,527 | 38,93 | 56.77 |
| | | Including: ETFs | 52,915 | 26,07 | 97.12 |
| | | Options | 7,167 | 3,778 | 111.49 |
| | Repo | | 2,596,872 | 442,3 | 20.53 |
| Capital Raising and Financing | Stocks | Capital Raised | 9,150 | 1,455 | 18.90 |
| | | Including: Capital Raised by IPO Companies | 3,477 | 1,633 | 88.57 |
| | | No. of IPO Companies | 233 | 110 | 89.43 |
| | Bonds | | 48,225 | 13,23 | 37.84 |

Notes:

Unit for market value: RMB0.1 billion and unit for share capital: 0.1 billion shares; Unit for trading amount: RMB0.1 billion; Unit for account opening: 0.1 billion accounts. The capital raised by stocks includes that by preferred stocks, and the bond financing includes that by corporate bonds and asset-backed securities.

**Overview of SSE Stock Market
(2020)**

| | | Main Board | | | STAR Market | | |
|-------------------------|--|------------|----------------------------------|------------|-------------|----------------------------------|------------|
| | | Number | Compared with the End of 2019 | Change (%) | Number | Compared with the End of 2019 | Change (%) |
| No. of Listed Companies | | 1,585 | 83 | 5.53 | 215 | 145 | 207.14 |
| Scale | Total Market Value | 421,831 | 74,949 | 21.61 | 33,491 | 24,853 | 287.73 |
| | Market Value of Shares without Sales Limit | 370,011 | 70,044 | 23.35 | 10,002 | 8,714 | 676.54 |
| | Issued Shares | 41,959 | 2,001 | 5.01 | 642 | 400 | 165.46 |
| | Shares without Sales Limit | 37,331 | 2,197 | 6.25 | 170 | 134 | 373.48 |
| Trading | | 773,631 | 243,100 | 45.82 | 66,230 | 52,916 | 397.45 |
| Capital Raising | Capital Raised | 6,913 | 42 | 0.61 | 2,237 | 1,413 | 171.44 |
| | Including Capital Raised by IPO Companies | 1,251 | 231 | 22.67 | 2,226 | 1,402 | 170.08 |
| | No. of IPO Companies | 88 | 35 | 66.04 | 145 | 75 | 107.14 |